# BAINWOODHUANG

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### March 21, 2006

From:	JAMES F. THOMPSON, ESQ.		Our Reference N	lo.: 1002-009.004
	BAINWOOD, HUA	Your Reference N	lo.: 10/622,403	
	Please deliver the foll	owing 10 Pages, Including Cove	er Page to the following	g Recipient
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	Office of Petitions	United States Patent and Trademark Office	571/273-8300	

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ATTORNEY DOCKET NO.: 1002-009.004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED CENTRAL FAX CENTER

Applicants: Serial No.:

Richard C. Slater

10/622.403

Title:

COHERENT BEAM COMBINATION

Filing Date: July 18, 2003

Examiner:

Van Roy, Tod Thomas

Art Unit:

2828

Conf. No.: 4136

### Certificate of Mailing Under 37 C.F.R. §1.8

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Date: March 21, 2006

By: Lynn F. McMiller

(Typed or printed name of person mailing Document, whose signature appears below)

Office of Petitions

**Commissioner for Patents** P.O. Box 1450

Alexandria, VA 22313-1450

### PETITION TO VACATE PREMATURE FINALITY OF AN OFFICE ACTION

Sir or Madam:

Applicant hereby requests that the finality of an Office Action mailed January 26, 2006 be vacated as being premature.

Regarding when a second office action can properly be made final, MPEP § 706.07(a) states the following:

Furthermore, a second or any subsequent action on the merits in any

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application or patent undergoing reexamination proceedings will not be made final if it includes a rejection, on newly cited art, other than information submitted in an information disclosure statement filed under 37 CFR 1.97(c) with the fee set forth in 37 CFR 1.17 (p), of any claim not amended by applicant or patent owner in spite of the fact that other claims may have been amended to require newly cited art. (Emphasis added)

The Office Action of January 26, 2006 (copy enclosed) includes a new rejection of claim 1 under 35 U.S.C. § 102(b) based on Seguin, US 5,210,768 (see page 6 et seq.). Claim 1 has not been amended in this application, as evidenced by the previous response submitted November 18, 2005 (copy enclosed). Because the rejection based on Seguin is a new rejection of unamended claim 1, the Office Action should not be final under MPEP §706.07(a).

Applicant's representative requested withdrawal of the finality of the Office Action in separate telephone calls to the Examiner (Mr. Tod Van Roy), the Examiner's supervisor (Ms. Minsun Harvey) and to Director Robert Oberleitner of TC 2800 on the dates of March 17, 2006 through March 21, 2006. Ms. Harvey and Mr. Oberleithner both stated that the new rejection was necessary for dependent claims 30-35 which had been added in the previous response, and also pointed out that an original rejection of claim 1 and other claims had been maintained. Applicant's representative pointed out that neither of these facts is mentioned as an exception in MPEP § 706.07(a). Moreover, MPEP § 706.07(a) specifically allows for the situation in which the claims are amended to require newly cited art, which is the action taken in the previous response. Thus, in Applicant's view the action by the Examiner is in direct contravention of MPEP § 706.07(a) and should be vacated.

Based on the above, it is respectfully requested that the Examiner's action of making the Office Action final be vacated and that prosecution of this application proceed on a non-final basis.

Applicant hereby petitions for any extension of time which is required to maintain

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the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-3661.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 616-2900, in Westborough, Massachusetts.

Respectfully submitted,

Attorney for Applicant

USPTO Registration No.: 36,699 Bainwood, Huang & Associates, LLC

**Highpoint Center** 2 Connector Road

Westborough, Massachusetts 01581

Telephone: (508) 616-2900 Facsimile: (508) 366-4688

Attorney Docket No.: 1002-009.004

Dated: March 21, 2006



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE, United States Patent and Trademark Office Address: COAMIS SIONER FOR PATENTS P.O. Box 1450 Alexandris, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
10/622,403	07/18/2003	Richard C. Slater	TXT05-06	4136	
57604	7590 01/26/2006	• •	EXAMINER		
	IUANG, ESQ. HUANG & ASSOCIATE	VAN ROY, TOD THOMAS			
2 CONNECT		ART UNIT	PAPER NUMBER		
SUITE 2A	UGH, MA 01570	2828			
WESTBORO	OGH, MA 01370		DATE MAIL ED: 01 76/7006		

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
• • •	10/622,403						
Office Action Summary		SLATER, RICHARD C.					
	Examiner or July	Art Unit					
The MAILING DATE of this communication	Tod T. Van Roy	2828					
Period for Reply	appears on the cover sheet will th	ie correspondence address —					
A SHORTENED STATUTORY PERIOD FOR RETHE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR after SIX (8) MONTHS from the mailing date of this communication.  - If the period for reply specified above, the maximum statutory per Fallure to reply within the set or extended period for reply with the set or extended period for reply within the set or extended period for reply set or extended period	N. R. 1.135(a). In no event, however, may a reply be reply within the statutory minimum of thirty (30) and apply and will expire SIX (6) MONTHS in the cause the amplication to become ARANDO	to be timely filed  I days will be considered timely.					
Status							
1) Responsive to communication(s) filed on 21	1 November 2005.						
	his action is non-final.						
3) Since this application is in condition for allow		prosecution as to the merits is					
closed in accordance with the practice unde	er Ex parte Quayle, 1935 C.D. 11,	, 453 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) 1-35 is/are pending in the applicati	ion	•					
4a) Of the above claim(s) is/are withd		•					
5) Claim(s) is/are allowed.	mawit from Consideration.						
6)⊠ Claim(s) <u>1-35</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and	d/or election requirement						
·							
Application Papers		•					
9) The specification is objected to by the Exami							
10) The drawing(s) filed on is/are: a) a							
Applicant may not request that any objection to the							
Replacement drawing sheet(s) including the corn	ection is required if the drawing(s) is	objected to. See 37 CFR 1.121(d).					
11) ☐ The oath or declaration is objected to by the	Examiner. Note the attached Offi	ice Action or form PTO-152.					
Priority under 35 U.S.C. § 119							
12)☐ Acknowledgment is made of a claim for foreign	an priority under 35 U.S.C. 6 119	(a)-(d) or (f)					
a) All b) Some c) None of:	3 1 3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	(4) (4) (1).					
1. Certified copies of the priority docume	1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority docume		ation No.					
3. Copies of the certified copies of the pr	riority documents have been rece	ived in this National Stage					
application from the International Bure	eau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a II		ived.					
	,						
Attachment(s)							
Notice of References Cited (PTO-892)     Dotice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summa Paper No(a)/Mail						
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0 Paper No(s)/Mail Date		of Patent Application (PTO-152)					
Patent and Trademark Office	<del></del>						

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**Art Unit: 2828** 

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said expanded first electromagnetic field with said expanded second electromagnetic field (accomplished through the in-phase reflections through the gain media).

With respect to claim 25, Vetrovec discloses the method as outlined in the rejection to claim 24, and further discloses the output beam to be a function of the product of the amplitudes generated by each gain element ([0068], beam being amplified at each gain element in the series, product of the amplitudes then output at end of the resonator).

With respect to claim 27, Vetrovec discloses the method as outlined in the rejection to claim 24, and further discloses producing and expanding at least a third electric field in the resonator (produced by gain medium 3 in the series).

With respect to claim 28, Vetrovec discloses the method as outlined in the rejection to claim 27, and further discloses coherently combining the third field with the first two fields (accomplished through the in-phase reflections through the gain media).

With respect to claim 29, Vetrovec discloses the method as outlined in the rejection to claim 24, and further discloses removing heat from the gain media ([0090-91], in heat conducting Al frame, with coolant lines run throughout).

Claims 1, 24, and 30-35 are rejected under 35 U.S.C. 102(b) as being anticipated by Seguin (US 5210768).

<sup>(</sup>b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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With respect to claim 1, Seguin discloses a system for coherent beam combination comprising: an unstable resonator (fig.5), at least two gain media located within said unstable resonator (fig. #'s 10); wherein a first electromagnetic field produced by a first gain medium of said at least two gain media propagates through a portion of a second gain medium of said at least two gain media after one or more roundtrips within said unstable resonator (fig.5, first field produced at gain medium one, proceeds then to gain medium two); wherein said first electromagnetic field is in-phase with a second electromagnetic field produced by said second gain medium (abs., fields in phase as the successive gain material is of the same type, leading to equal amounts of optical delay for both entering and exiting each gain region, keeping the fields in phase).

With respect to claim 24, Seguin discloses a method for coherent beam combination comprising the steps of: producing a first electromagnetic field from a first gain medium; producing a second electromagnetic field from a second gain medium (fig.5, fields produced by pumping the gain media, then being reflected through successive gain media); expanding said first and said second electromagnetic fields in an unstable resonator having a magnification factor (inherent in an unstable resonator, see applicant's spec [0020]); and coherently combining said expanded first electromagnetic field with said expanded second electromagnetic field (abs., accomplished through the in-phase reflections through the gain media).

With respect to claims 30-35, Seguin further discloses the at least two gain media are placed in a plane transverse to a longitudinal axis of the unstable resonator,

ATTORNEY DOCKET NO .: TXT05-06

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard C. Slater

Serial No.:

10/622,403

Title:

COHERENT BEAM COMBINATION

Filing Date: July 18, 2003

Examiner:

Tod Thomas Van Roy

Art Unit:

2828

Conf. No.: 4136

# Certificate of Mailing Under 37 C.F.R. §1.8

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Date: November 18, 2005

By: Penny A. Coelho

(Typed or printed name of person mailing Document, whose signature appears below)

Signature

MAIL STOP AMENDMENT

Commissioner for Patents PO Box 1450

Alexandria, Virginia 22313-1450

## **AMENDMENT**

Sir:

In response to the Office Action mailed August 18, 2005, please amend the above-identified Application as follows:

U.S. Application No.: <u>1/622,403</u>

Attorney Decket No.: TXT05-06

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### IN THE CLAIMS

This listing of claims will replace all prior versions and listings of claims in the Application:

# LISTING OF CLAIMS:

- (Original) A system for coherent beam combination comprising: an unstable resonator;
  - at least two gain media located within said unstable resonator;

wherein a first electromagnetic field produced by a first gain medium of said at least two gain media propagates through a portion of a second gain medium of said at least two gain media after one or more roundtrips within said unstable resonator; wherein said first electromagnetic field is in-phase with a second electromagnetic field produced by said second gain medium.

- 2. (Original) The system of Claim 1, further comprising an output beam exiting said unstable resonator.
- 3. (Currently amended) The system of Claim 3Claim 2, wherein said output beam has an intensity proportional to an amplitude product squared, said amplitude product being an amplitude of said first electromagnetic field multiplied by an amplitude of said second electromagnetic field.
- 4. (Original) The system of Claim 1, wherein said at least two gain media are laser gain media.
- 5. (Original) The system of Claim 1, wherein said at least two gain media are parametric gain media.